

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sec 87
#3

Applicant: John F. Engelhardt et al.

Title: COMPOUNDS AND METHODS TO ENHANCE rAAV TRANSDUCTION

Docket No.: 875.032US1

Filed: October 12, 2000

Examiner:

Serial No.: 09/689,136

Due Date: January 30, 2001

Group Art Unit: 1641

Attn: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231



We are transmitting herewith the attached:

- X A return postcard.
- X A check in the amount of \$65.00 to cover the Missing Parts Fee.
- X A check in the amount of \$1,579.00 to cover the Basic Filing Fee and Additional Claims Fee.
- X Communication Re: Missing Parts (1 pg.)
- X A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27.
- X A signed Combined Declaration and Power of Attorney (3 pgs.).
- X Form PTO-1533 (1pg.)

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Dep sit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: [Signature]
Name: Janet E. Embretson
Reg. No. 39,665
JEE:CMG:lat

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 29th day of January, 2001.

LISA THORIN
Name

LISA THORIN
Signature

S/N 09/689,136



PATENT

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COMMUNICATION RE: MISSING PARTS

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Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$1,579.00 to cover the small entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6959.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

JOHN F. ENGELHARDT ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6959

Date January 29, 2001

By Janet E. Embretson

Janet E. Embretson
Reg. No. 39,665
JEE:CMG:lat

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231, on January 29, 2001.

Date of Deposit

LISA THORIN

Lisa Thorin



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/689,136	10/12/2000	John F. Engelhardt	875.032US1

21186

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH
P.O. BOX 2938
MINNEAPOLIS, MN 55402



FORMALITIES LETTER



OC000000005587887

Date Mailed: 11/30/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1089.
 - \$1089 for 121 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1509.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/02/2001 MHARMOL 00000011 09689136

05 FC:205

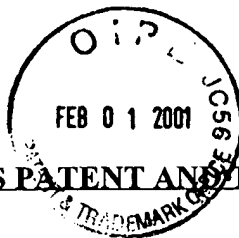
65.00 OP

02/02/2001 MHARMOL 00000011 09689136

355.00	OP
1089.00	OP
65.00	OP
70.00	OP

01 FC:201
02 FC:203
03 FC:205
04 FC:299

S/N 09/689,136



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